

PART A

SUMMARY OF IMPORTANT CIRCULARS ISSUED DURING THE PERIOD APRIL 01, 2017 TO DECEMBER 31, 2018

Sr No	Subject	Download No.	Circular Date	Reference
1	Revised - Exclusion of Futures & options Contracts in S&P500 and DJIA (pdf)	35587	11-Aug-17	Item 4.3.2 and 4.4.2 – Refer Part B
2	Facility of 'Do not Exercise' in Equity Options (zip)	35635	22-Aug-17	Item 5.3.2.2 – Refer Part B
3	Changes in existing file formats in FO (pdf)	35713	04-Sep-17	Part D
4	File location of 'Do not Exercise' facility in Equity Options (zip)	35747	06-Sep-17	Item 5.3.2.2 – Refer Part B
5	Introduction of NSCCL-MASS (zip)	36570	22-Dec-17	Part C
6	Daily file for Exposure margin (pdf)	36851	29-Jan-18	Item 10.4.7 – Refer Part B
7	Revision in member wise limits prescribed for acceptance of Bank Guarantee towards collaterals	36928	08-Feb-18	Item 9.3.3 –Refer Part B
8	Client Margin reporting	37751	14-May-18	Item 10- Refer Part B
9	Margin provisions for intra-day crystallised losses	37092 & 37279	01-Mar-2018 & 28-Mar-2018	Item 10.2.9- Refer Part B
10	Additional Risk Management measures for long dated option contracts	37071 & 37770	28-Feb-2018 & 16-May-2018	Item 10.4.2- Refer Part B
11	Acceptance of Securities as Collateral	34854 & 37802	12-May-2017 & 21-May-2018	Item 9.3.4.5 and 9.3.4.6 Refer Part B
12	Release of Cash Collateral to the designated secondary account	36361	23-Nov-2017	Item 9.4.2 Refer Part B

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13	Client Margin Reporting	37751	14-May-2018	Item 15 Refer Part B
14	Liquid Networth for Clearing Member	37752	14-May-2018	Item 9.1 Refer Part B
15	Collateral Limit for Trading Member	37753	14-May-2018	Item 10.13 Refer Part B
16	Physical Settlement in Equity Derivatives	38039	15-Jun-2018	Part B
17	STT on Physical Settlement in Equity Derivatives	38370	19-Jul-2018	Item 6 Refer Part B
18	STT on Physical Settlement in Equity Derivatives	38738	30-Aug-2018	Part D
19	Formats for acceptance of Collaterals	38755	31-Aug-2018	Part C
20	Delivery Margins for Physical Settlement in Equity Derivatives	38807	04-Sep-2018	Item 10 – Refer Part B
21	Reporting of details of securities Pay-in / Pay-out shortages by Members	39322	02-Nov-2018	Item 8.8 – Refer Part B
22	Modification in the naming convention of Collateral Breakup Report	39414	16-Nov-2018	Part D
23	Introduction of ‘NSCCL-MASS’ for functionalities currently available in Collateral Interface for Members (CIM)	39479	22-Nov-2018	Part B
24	Reporting of details of securities Pay-in / Pay-out shortages by Members	39558	30-Nov-2018	Item 8.8 – Refer Part B
25	Review of Risk management framework for Equity Derivatives Segment	39766	26-Dec-2018	Item 3 and Item 10 – Refer Part B